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Atty Docket No.: 98023/184

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: DICKS et al.

U.S. Serial No.: 09/785,076

Filed: February 16, 2001

For: BROKERAGE SYSTEM AND METHOD

Examiner: TBA

Group Art Unit: 2161

Assistant Commissioner for Patents
Washington, D.C. 20231

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: Jan. 25, 2002

Signature:

Chris Kolefas, Reg. No. 35,226

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants respectfully submit this Information Disclosure Statement pursuant to 37 CFR §§ 1.97 and 1.98 in compliance with the duty of disclosure under 37 CFR § 1.56. The references cited hereby are listed on the attached modified PTO Form 1449. It is respectfully requested that the information contained in the cited references be expressly considered during the prosecution of this application and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

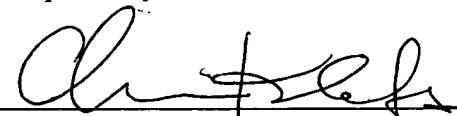
A copy of each of the listed references is enclosed for the convenience of the Examiner.

The present submission is made under 37 CFR 1.97(b)(3), as no first Office action on the merits has been mailed in connection with this Application. As such, no fee is believed to be due. Should any fee be required, the Patent Office is authorized to charge Deposit Account No. 50-0540.

Respectfully submitted,

Date: Jan. 25, 2002

By:


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